

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

9512-002-27

First Inventor or Application Identifier

Joel Brian DERRICO

Title

CLUSTER COMPUTER NETWORK APPLIANCE WHITE PAPER

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

- ☒ Applicant claims small entity status.

2. ☒ Specification Total Pages **23**

3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **5**

4. ☒ Oath or Declaration Total Pages **3**

a. ☒ Newly executed (original)

b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)

5. ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING DOCUMENTS

6. ☒ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
13. ☐ Request for Priority
14. ☐ List of Inventors' Names and Addresses
15. ☒ Other: Check in the amount of \$665.00

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:
Prior application information: Examiner: Group Art Unit:


17. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on

☐ This application claims priority of provisional application Serial No. Filed

18. CORRESPONDENCE ADDRESS

Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
1200 Nineteenth Street, N.W.
Washington, D.C. 20036-2412
Telephone No. (202) 861-3900
Facsimile No. (202) 223-2085

Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	November 16, 2001	Telephone	202-861-3900
Name	Kenneth K.D. Vu	Registration No.		46,323	

FEE TRANSMITTAL

Docket No.	9512-002-27
Serial No.	NEW PATENT APPLICATION
Filing Date	HEREWITH
Inventor(s)	Joel Brian DERRICO et al.
Group Art Unit	
TOTAL AMOUNT OF PAYMENT	\$665.00
Examiner	

1. ☒ Applicant claims small entity status.
- ☐ Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

2. ☒ Check enclosed.

FEE CALCULATION


1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Paid	
105	130	205	65	Surcharge-late filing fee or oath							
127	50	227	25	Surcharge-late provisional filing fee or cover sheet							
139	130	139	130	Non-English specification							
147	2520	147	2520	Ex parte reexam. fee							
101	740	201	370	Utility filing fee							
106	330	206	165	Design filing fee							
107	510	207	255	Plant filing fee							
108	740	208	370	Reissue filing fee							
114	160	214	80	Provisional filing fee							
SUBTOTAL (1)											
2. EXTRA CLAIM FEES											
tot. claims	39	-	20*	=	19	x	\$9	=		171	
ind. claims	5	-	3*	=	2	x	\$42	=		84	
<input type="checkbox"/>	Multiple Dependent Claims										
103	18	203	9	Claims in excess of 20							
102	84	202	42	Independent claims in excess of 3							
104	280	204	140	Multiple dependent claim, if not paid							
109	84	209	42	*Reissue independent claims over original patent							
110	18	210	9	*Reissue claims in excess of 20 and over original patent							
SUBTOTAL (2)											
* or number previously paid, if greater; For Reissues, see above											
SUBTOTAL (3)											

OTHER (indicate below):

40.00

\$40.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	November 16, 2001
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